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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re ap | olication | of |
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Noriko SUGIMOTO et al.

Mail Stop: PCT

Serial No. NEW

Attorney Docket No. 2006\_0053A

Filed February 1, 2006

PROGRAM RECOMMENDATION
APPARATUS
[Corresponding to PCT/JP2004/011283
Filed July 30, 2004]

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

|     | [X]  | each U.S. Patent and U.S. Patent application publication;                     |  |  |  |
|-----|--|---|--|--|--|
|     |  | each reference previously cited in the international application PCT/; and/or |  |  |  |
|     |  | each reference previously cited in prior parent application Serial No.        |  |  |  |
| 1a. | [X] This Information Disclosure Statement is submitted:  |   |  |  |  |
|     | within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or |   |  |  |  |

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
  - a. [] that each item of information contained in this Information Disclosure

    Statement was first cited in any communication from a foreign patent office in a

    counterpart foreign application not more than three months prior to the filing of
    the Statement, or
  - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

- 3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
- 4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a. [] a full or partial English language translation submitted herewith,
  - b. [X] an International Search Report (in the English language) submitted herewith,
  - c. [] the concise explanation contained in the specification of the present application at page,
  - d. [] the concise explanation set forth in the attached English language abstract,
  - e. [X] the concise explanation set forth below or on a separate sheet attached to the reference:

JP 2002-112142 corresponds to US 2002/0038457 JP 2003-114903 corresponds to US 2003/0088871

JP 2002-142160 corresponds to US 2004/0083490

JP 2002-259392 corresponds to US 2002/0133815

5. [X] an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Noriko SUGIMOTO et al.

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February 1, 2006

| Sheet 1 of 1   | _         |                          | INFORMA                         | ION DISCLOSURE STATEMENT        | r ,      |              |          |   |          |
|--|-----------|--------------------------|---------------------------------|---------------------------------|----------|--------------|----------|---|----------|
| FORM PTO 1449 (modified)   |           |                          | ATTY DOCKET NO.<br>2006_0053A   |                                 | SERIAL I | NO. <b>1</b> | 56667    | Ł.                                      |          |
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) |           |                          | APPLICANT Noriko SUGIMOTO et al | P20                             | Rec'd    |              |          |   |          |
|  | (Use seve | ral sheets if necessary) | . ,                             | FILING DATE<br>February 1, 2006 |          |              | GROUP    |   |          |
|  |           |                          | <del></del>                     | S. PATENT DOCUMENTS             |          |              |          |   |          |
| *EXAMINER<br>INITIAL   |           | DOCUMENT<br>NUMBER       | DATE                            | NAME                            | CI       | .ASS         | SUBCLASS | FILING DAT<br>APPROPRI                  |          |
| /C.S./   | AA        | 2002/0038457             | 3-28-02                         | Numata et al.                   |          |              |          |   |          |
| /C.S./   | АВ        | 2003/0088871             | 5-8-03                          | Kimura                          |          |              |          |   |          |
| /C.S./   | AC        | 2004/0083490             | 4-29-04                         | Hane                            |          |              |          |   |          |
| /C.S./   | AD        | 2002/0133815             | 9-19-02                         | Mizutome et al.                 |          |              |          |   |          |
|  | AE        |                          |                                 |                                 |          |              |          |   |          |
|  | AF        |                          |                                 |                                 |          |              |          |   |          |
|  | AG        |                          |                                 |                                 |          |              |          |   |          |
|  | АН        |                          |                                 |                                 | <u></u>  |              |          |   |          |
|  | AI        |                          |                                 |                                 |          |              |          |   |          |
|  |           |                          | FORE                            | IGN PATENT DOCUMENTS            |          |              |          |   |          |
|  |           | DOCUMENT<br>NUMBER       | DATE                            | COUNTRY                         | CL       | ASS          | SUBCLASS | TRANSLATI<br>YES                        | ON<br>NO |
| /C.S./   | AJ        | 2003-163847              | 6-6-03                          | Japan                           |          |              |          | abstract                                |          |
| /C.S./   | AK        | 10-294904                | 11-4-98                         | Japan                           |          |              |          | abstract                                |          |
| /C.S./   | AL        | 2003-204565              | 7-18-03                         | Japan                           |          |              |          | abstract                                |          |
| /C.S./   | АМ        | 2002-112142              | 4-12-02                         | Japan                           |          | •            |          | corresponds<br>to AA                    |          |
| /C.S./   | AN        | 2001-16520               | 1-19-01                         | Japan                           | <u> </u> |              |          | abstract                                |          |
| /C.S./   | АО        | 2003-114903              | 4-18-03                         | Japan                           |          |              |          | corresponds<br>to AB                    |          |
| /C.S./   | AP        | 2002-142160              | 5-17-02                         | Japan                           |          |              |          | corresponds<br>to AC                    |          |
| /C.S./   | AQ        | 2002-259392              | 9-13-02                         | Japan                           |          |              |          | corresponds<br>to AD                    |          |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)                         |           |                          |                                 |                                 |          |              |          |   |          |
|  | AR        |                          |                                 |                                 |          |              |          |   |          |
| EXAMINER   |           | Chenea Smith/            |                                 | DATE CONSIDER                   | RED      | 07/0         | 1/2008   | - · · · · · · · · · · · · · · · · · · · |          |